

Appendix 1

Internal Audit Reports Issued in respect of the 2023/24 Internal Audit Plan February 2024 – June 2024

Report Ref	Report Title	Scope and Objectives	Overall Opinion	Date		Number of Recommendations	
				Report Issued	Response Due	Made	Accepted
D009	Ethical Governance	To ensure that the Council has in place appropriate policies and procedures to support ethical governance	Reasonable	26/1/24	16/2/24	9 (1M 8L)	9
D010	Asset Management	To ensure there is an asset management plan in place with objectives that are progressed and that buildings are appropriately maintained.	Reasonable	1/3/24	22/3/24	5 (2M 3L)	5
D011	Main Accounting System	To review the controls and processes in place in respect of journals, reconciliations, suspense account, system access etc	Substantial	5/3/24	26/3/24	2L	2
D012	Procurement	To ensure that procurement is undertaken in compliance with Financial Regulations and procedures	Limited	6/3/24	27/3/24	6 (1H 2M 3L)	6

Report Ref	Report Title	Scope and Objectives	Overall Opinion	Date		Number of Recommendations	
				Report Issued	Response Due	Made	Accepted
D013	Transport, Plant, Vehicles and Fuel	To assess the controls in place in respect of driver licence checks, fuel issue, inventory, O Licence compliance, insurance, acquisition and disposal of vehicles.	Reasonable	18/3/24	10/4/24	4 (2M 2L)	4
D014	Budgetary Control	To review budgetary control procedures.	Reasonable	22/3/24	16/4/24	2L	2
D015	Refuse Collection Contract	To ensure that the refuse collection contract is appropriately monitored.	Substantial	22/4/24	N/A	0	0
D016	Corporate Targets	To confirm the accuracy of results reported	Substantial	7/5/24	29/5/24	1L	1
D017	Data Protection	To follow up the implementation of recommendations from the previous internal audit review and also the recommendations made by an independent reviewer.	Limited	28/5/24	18/6/24	1H	1