

## Appendix 4

### Progress on the Internal Audit Plan 2023/24

<b>Priority</b>	<b>Main Financial Systems</b>	<b><u>Status at year end</u></b>	<b><u>Assurance Level</u></b>	<b><u>2023/24 Days (Planned)</u></b>
H/M	Main Accounting System	Complete	Substantial	9
H/M	Budgetary Control	Complete	Reasonable	5
H/M	Cash and Bank	Complete	Reasonable	15
H/M	Treasury Management	Complete	Reasonable	15
H/M	Council Tax	Complete	Reasonable	10
H	Housing / Council Tax Benefit	Complete	Reasonable	20
	<b>Total Main Financial Systems</b>			<b>74</b>
	<b>Other Operational Audits</b>			
H/M	Asset Management	Complete	Reasonable	12
M/L	Energy Grants	Complete	Substantial	5
L	Expenses and Allowances	Complete	Reasonable	10
L	Illuminations	Deferred		2
L	Parks	Deferred		5
H	Refuse Collection	Complete	Substantial	15
M/H	Transport/plant/vehicles/fuel	Complete	Reasonable	12
	<b>Total Other Operational Audits</b>			<b>61</b>
	<b>Corporate/Cross Cutting</b>			
M	Corporate Targets	Complete	Substantial	10
H	Climate Change	Complete	Substantial	10
H/M	Data Protection	Complete	Limited	12
M	Business Continuity	Complete	Reasonable	10
M	Ethics	Complete	Reasonable	12
H	Follow up Previous Recommendations	Ongoing throughout year		10
L	Freedom of Information	Complete	Reasonable	8
M	Health and Safety	Deferred		12

<b>Priority</b>	<b>Main Financial Systems</b>	<b><u>Status at year end</u></b>	<b><u>Assurance Level</u></b>	<b><u>2023/24 Days (Planned)</u></b>
	Procurement	Complete	Limited	10
	<b>Total Corporate/Cross Cutting</b>			<b>94</b>
	<b>IT Systems</b>			
M	Laptops / Removable Media	In Progress		10
	<b>Total IT Systems</b>			<b>10</b>
	<b>Fraud and Corruption</b>			
N/A	National Fraud Initiative	Ongoing throughout year		1
	<b>Total Fraud and Corruption</b>			<b>1</b>
	<b>Other</b>			
	Contingency	Ongoing throughout year		62
	Financial Advice/Working Groups	Ongoing throughout year		25
	<b>Total Other</b>			<b>87</b>
	Management Time (IA Consortium Manager)			30
	<b>Grand Total</b>	<b>22 excl. ongoing</b>		<b>357</b>